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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
UTILITY PATENT APPLICATION TRANSMITTAL

(For new non-provisional applications under 37 CFR § 1.53(b))

Atty. Dkt. No:	5681-06700
Inventor(s):	John M. Morrison
Title:	Fault Tolerance Mechanism For A Storage Device That Stores Data In Stacks Within An Integral Storage Medium

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**CERTIFICATE OF EXPRESS MAIL**  
**UNDER 37 C.F.R. §1.10**

"Express Mail" mailing label number: EL893865635US  
DATE OF DEPOSIT: January 25, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to.

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Derrick Brown

### Application Elements

1. ☒ Filing Fee

The filing fee is calculated as shown below.

|                                    |    |      |    |            |            |
|------------------------------------|----|------|----|------------|------------|
| Total Claims                       | 40 | -20= | 20 | x \$18.00= | \$360.00   |
| Independent Claims                 | 5  | -3 = | 2  | x \$84.00= | \$168.00   |
| Multiple Dependent Claims          |    |      |    | Fee:       | \$0.00     |
| Basic Fee:                         |    |      |    |            | \$740.00   |
| Assignment Fee                     |    |      |    |            | \$40.00    |
| Sub-Total:                         |    |      |    |            | \$1,308.00 |
| Reduction by 50% for Small Entity: |    |      |    |            | \$0.00     |
| Total:                             |    |      |    |            | \$1,308.00 |

- ☒ Fee Authorizations for fees are enclosed.

The Commissioner is hereby authorized to charge any other fees which may be required or credit any overpayment to Conley, Rose, & Tayon, P.C., Deposit Account No. 501505/5681-06700/RCK.

**One duplicate copy of this form is enclosed.**

2. ☒ Specification  
19 page(s) of specification; 8 page(s) of claims, 1 page(s) of abstract

- ### 3. ☒ Drawings

Formal Figure(s) 1-6 on 5 sheet(s)

4. ☒ Oath or Declaration

- ☒
- Newly executed

- ☐
- Copy from a prior application (see 37 C.F.R. § 1.63(d))

Deletion of Inventor(s) (in continuation or divisional applications):

- ☐ Delete the following inventor(s) named in the prior non-provisional application:

- ☐ The inventor(s) to be deleted are set forth on a signed sheet attached hereto.

5. ☐ The entire disclosure of the prior application referred to above is considered to be part of the accompanying application and is hereby incorporated by reference herein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- ☐ Computer Readable copy
- ☐ Paper Copy (identical to computer copy)
- ☐ Statement verifying identity of above copies
8. ☒ Assignment Papers
9. Power of Attorney
- ☒ Is attached.
- ☐ The power of attorney appears in the original papers of the prior application.
- ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
10. ☐ Information Disclosure Statement (IDS)
- ☐ Copies of IDS Citations
11. Amendments
- ☐ A preliminary amendment is enclosed.
- ☐ Cancel in this application claim(s) \_\_\_\_\_ before calculating the filing fee. At least one independent claim is retained for filing purposes.
- ☐ Amend the specification by inserting before the first line the sentence: \_\_\_\_\_.
12. ☒ Return Receipt Postcard
13. Small Entity Status
- ☐ A small entity statement is enclosed.
- ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- ☐ Is no longer claimed.
14. ☐ Priority of foreign application number \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. §§ 119(a)-(d)
15. ☐ Petition under 37 C.F.R. § 136 for Extension of Time
16. ☒ Other: Request and Certification under 122(b)(2)(B)(i)

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Signature

Name

Registration No.

Date

Robert C. Kowert

39,255

January 25, 2002

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